

Format of holding of specified securities

Annexure - I

1 Name of Listed Entity : APL METALS LIMITED

2 Scrip Code/Name of Scrip/Class of Security :

3 Share Holding Pattern Filed under: Reg. 31(1) (b) : Quarter Ended 30/09/2021

4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5 The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholder (III)	No. of fully paid-up equity shares held (IV)	No. of paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C)	Number of Locked in Shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class e.g. x	Class e.g. y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group	20	7781679	0	0	7781679	72.547	7781679	0	72.547	0	0.000	0	0.000	0	0.000	7411687
(B)	Public	10929	2944708	0	0	2944708	27.453	2944708	0	27.453	0	0.000	0	0.000	0	0.000	1757467
(C)	Non Promoter - Non Public																
(C1)	Shares underlying DRs																
(C2)	Shares held by Employee Trusts																
	TOTAL	10949	10726387	0	0	10726387	100.000	10726387	0	100.000	0	0.000	0	0.000	0	0.000	9169154





Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares held underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities as a percentage of diluted share capital (xi) = [(vii)+(x)] as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)	
								Class x	Class y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
(1) Institutions		0	0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0.000	0	
(a) Mutual Funds		0	0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0.000	0	
Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0.000	0	
(b) Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0.000	0	
(c) Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0.000	0	
(d) Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0.000	0	
(e) Financial Institutions/Banks		1	100	0	0	100	0.001	100	0	100	0.001	0	0.001	0	0.000	0	0.000	100
(f) Insurance Companies		0	0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0.000	0	
(g) Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0.000	0	
(h) Any Other		0	0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0.000	0	
Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0.000	0	
QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0.000	0	
Sub-Total (B)(1)		1	100	0	0	100	0.001	100	0	100	0.001	0	0.001	0	0.000	0	0.000	100
Central Government/State Government(s)/President of India		0	0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0.000	0	
Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0.000	0	
(2) Non-Institutions		0	0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0.000	0	
(a) Individuals		0	0	0	0	0	0.000	0	0	0	0	0.000	0	0.000	0	0.000	0	
i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		10864	1530188	0	0	1530188	14.266	1530188	0	1530188	14.266	0	14.266	0	0.000	0	0.000	435071
ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		13	1297900	0	0	1297900	12.100	1297900	0	1297900	12.100	0	12.100	0	0.000	0	0.000	1297900
CHETAN CHANDAK	AIGPC0853R	0	280000	0	0	280000	2.610	280000	0	280000	2.610	0	2.610	0	0.000	0	0.000	280000
KALPANA SOMANI	APEPS4206B	0	157500	0	0	157500	1.468	157500	0	157500	1.468	0	1.468	0	0.000	0	0.000	157500
RENU CHOWDHARY	ACQPC5886J	0	112000	0	0	112000	1.044	112000	0	112000	1.044	0	1.044	0	0.000	0	0.000	112000
UMESH KUMAR SOMANI	ALQPS9309D	0	175000	0	0	175000	1.631	175000	0	175000	1.631	0	1.631	0	0.000	0	0.000	175000
NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b) Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities as a percentage of diluted share capital (xi) = (vii)+(x) as a % of (A+B+C)	Number of Locked In shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class x	Class y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(1) Custodian, DR Holder																	
(2) Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014																	
Total Non-Promoter - Non-Public Shareholding (C)(1)+(C)(2)																	



Table V - Statement showing shareholding details of significant beneficial owner

Sr No.	Details of the significant beneficial owner (i)		Details of the registered owner (ii)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect :(iii) Whether by virtue of:					Date of creation / acquisition of significant beneficial interest (IV)	
	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control		Exercise of significant influence

