

Format of holding of specified securities

Annexure - I

1 Name of Listed Entity : APL METALS LIMITED

2 Scrip Code/Name of Scrip/Class of Security :

3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 31/03/2021**

4 Declaration:

| | Particulars | Yes | No |
|---|---|------------|-----------|
| 1 | Whether the Listed Entity has issued any partly paid up shares | | NO |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | | NO |
| 3 | Whether the Listed Entity has any shares against which depository receipt are issued? | | NO |
| 4 | Whether the Listed Entity has any shares in locked-in? | | NO |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | | NO |

5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. of shareholders (III) | No. of fully paid-up equity shares held (IV) | No. of partly paid-up equity shares held (V) | No. of shares underlying Depository Receipt (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | | No. of Shares Underlying convertible securities (Including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|----------------------------|--|--|--|--|---|---|------------|-----------------|-------------------------|--|--|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | | | No. of Voting Rights | | | Total as a % of (A+B+C) | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| | | | | | | | | Class eg:x | Class eg:y | Total | | | | | | | | |
| (A) | Promoter & Promoter Group | 20 | 7781679 | 0 | 0 | 7781679 | 72.547 | 7781679 | 0 | 7781679 | 72.547 | 0 | 72.547 | 0 | 0.000 | 0 | 0.000 | 7411687 |
| (B) | Public | 10934 | 2944708 | 0 | 0 | 2944708 | 27.453 | 2944708 | 0 | 2944708 | 27.453 | 0 | 27.453 | 0 | 0.000 | 0 | 0.000 | 1755987 |
| (C) | Non Promoter - Non Public | | | | | | | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | | | | | | |
| | TOTAL | 10954 | 10726387 | 0 | | 10726387 | 100.000 | 10726387 | 0 | 10726387 | 100.000 | 0 | 100.000 | 0 | 0.000 | 0 | 0.000 | 9167674 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| | Category & Name of shareholders (I) | PAN (II) | Nos. of shareholders (III) | No. of fully paid-up equity shares held (IV) | No. of partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipt (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|-----|---|------------|----------------------------|--|--|---|--|---|---|---------|---------|--|---|-------------------------------------|---------|---|---------|---|---------------------------------|
| | | | | | | | | | No. of Voting Rights | | | | | Total as a % of Total Voting rights | No. (a) | As a % of total Shares held (b) | No. (a) | | As a % of total Shares held (b) |
| | | | | | | | | | Class x | Class y | Total | | | | | | | | |
| (1) | Indian | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0 | |
| | Individuals/Hindu undivided Family | | 16 | 7186739 | 0 | 0 | 7186739 | 67.001 | 7186739 | 0 | 7186739 | 67.001 | 0 | 67.001 | 0 | 0.000 | 0 | 0.000 | 6916937 |
| (a) | ABHINAV SAHAYA | AYBPS6114Q | 0 | 84763 | 0 | 0 | 84763 | 0.790 | 84763 | 0 | 84763 | 0.790 | 0 | 0.790 | 0 | 0.000 | 0 | 0.000 | 70000 |
| | AMITA SAHAYA | AMEPS7806C | 0 | 11793 | 0 | 0 | 11793 | 0.110 | 11793 | 0 | 11793 | 0.110 | 0 | 0.110 | 0 | 0.000 | 0 | 0.000 | 9593 |
| | DIVYA SAHAYA | | 0 | 12777 | 0 | 0 | 12777 | 0.119 | 12777 | 0 | 12777 | 0.119 | 0 | 0.119 | 0 | 0.000 | 0 | 0.000 | 0 |
| | GAJRAJ HOSPITAL TRUSTEE SRINANDAN SAHAYA | | 0 | 8000 | 0 | 0 | 8000 | 0.075 | 8000 | 0 | 8000 | 0.075 | 0 | 0.075 | 0 | 0.000 | 0 | 0.000 | 0 |
| | GURUGRANTH SAHIB VARANASHI | | 0 | 8736 | 0 | 0 | 8736 | 0.081 | 8736 | 0 | 8736 | 0.081 | 0 | 0.081 | 0 | 0.000 | 0 | 0.000 | 0 |
| | KUMUD NANDAN SAHAYA | | 0 | 2970 | 0 | 0 | 2970 | 0.028 | 2970 | 0 | 2970 | 0.028 | 0 | 0.028 | 0 | 0.000 | 0 | 0.000 | 0 |
| | PAMMI SAHAYA | ALFPS3887D | 0 | 2402350 | 0 | 0 | 2402350 | 22.397 | 2402350 | 0 | 2402350 | 22.397 | 0 | 22.397 | 0 | 0.000 | 0 | 0.000 | 2402350 |
| | RAJIV NANDAN SAHAYA | AKTPS6321H | 0 | 12741 | 0 | 0 | 12741 | 0.119 | 12741 | 0 | 12741 | 0.119 | 0 | 0.119 | 0 | 0.000 | 0 | 0.000 | 12741 |
| | RAJIV NANDAN SAHAYA (HUF) | | 0 | 132419 | 0 | 0 | 132419 | 1.235 | 132419 | 0 | 132419 | 1.235 | 0 | 1.235 | 0 | 0.000 | 0 | 0.000 | 0 |
| | RAMJANKI SEBAIT KRISHNA NANDAN SAHAYA | | 0 | 8765 | 0 | 0 | 8765 | 0.082 | 8765 | 0 | 8765 | 0.082 | 0 | 0.082 | 0 | 0.000 | 0 | 0.000 | 0 |
| | RAVI NANDAN SAHAYA | | 0 | 10771 | 0 | 0 | 10771 | 0.100 | 10771 | 0 | 10771 | 0.100 | 0 | 0.100 | 0 | 0.000 | 0 | 0.000 | 0 |
| | SALONI SAHAYA | BZIPS7043H | 0 | 1876641 | 0 | 0 | 1876641 | 17.496 | 1876641 | 0 | 1876641 | 17.496 | 0 | 17.496 | 0 | 0.000 | 0 | 0.000 | 1864993 |
| | SANJIV NANDAN SAHAYA | AAHHS0957D | 0 | 397006 | 0 | 0 | 397006 | 3.701 | 397006 | 0 | 397006 | 3.701 | 0 | 3.701 | 0 | 0.000 | 0 | 0.000 | 393603 |
| | SANJIV NANDAN SAHAYA | AMAPS0680C | 0 | 2163657 | 0 | 0 | 2163657 | 20.171 | 2163657 | 0 | 2163657 | 20.171 | 0 | 20.171 | 0 | 0.000 | 0 | 0.000 | 2163657 |
| | SHREE SHIV PARVATIJEE SEBAIT R.N.SAHAYA | | 0 | 26175 | 0 | 0 | 26175 | 0.244 | 26175 | 0 | 26175 | 0.244 | 0 | 0.244 | 0 | 0.000 | 0 | 0.000 | 0 |
| | SHREE SHIVJEE SEBAIT KESHVINANDAN SAHAYA | | 0 | 27175 | 0 | 0 | 27175 | 0.253 | 27175 | 0 | 27175 | 0.253 | 0 | 0.253 | 0 | 0.000 | 0 | 0.000 | 0 |
| (b) | Central Government/State Government(s) | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (c) | Financial Institutions/Banks | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (d) | Any Other | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Bodies Corporate | | 4 | 594940 | 0 | 0 | 594940 | 5.547 | 594940 | 0 | 594940 | 5.547 | 0 | 5.547 | 0 | 0.000 | 0 | 0.000 | 494750 |
| | INDIAN LEAD PRODUCTS LTD | | 0 | 15 | 0 | 0 | 15 | 0.000 | 15 | 0 | 15 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | K. L. COMMERCIAL PVT.LTD. | AACCK2199K | 0 | 494750 | 0 | 0 | 494750 | 4.612 | 494750 | 0 | 494750 | 4.612 | 0 | 4.612 | 0 | 0.000 | 0 | 0.000 | 494750 |
| | MAC SERVICES PVT. LTD. | | 0 | 95600 | 0 | 0 | 95600 | 0.891 | 95600 | 0 | 95600 | 0.891 | 0 | 0.891 | 0 | 0.000 | 0 | 0.000 | 0 |
| | PIGMENTS & CHEMICAL INDS PVT LTD | | 0 | 4575 | 0 | 0 | 4575 | 0.043 | 4575 | 0 | 4575 | 0.043 | 0 | 0.043 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Trusts | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Clearing Members | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Sub-Total (A)(1) | | 20 | 7781679 | 0 | 0 | 7781679 | 72.547 | 7781679 | 0 | 7781679 | 72.547 | 0 | 72.547 | 0 | 0.000 | 0 | 0.000 | 7411687 |
| (2) | Foreign | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (a) | Individuals (Non-Resident Individuals)/Foreign Individuals) | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (b) | Government | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |

| | | | | | | | | | | | | | | | | | | | |
|-----|--|--|-----------|----------------|----------|----------|----------------|---------------|----------------|----------|----------------|---------------|----------|---------------|----------|--------------|----------|--------------|----------------|
| (c) | Institutions | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (d) | Foreign Portfolio Investor | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (e) | Any Other | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | OCBs | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Sub-Total (A)(2) | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | | | | | | | | | | | | | | | | | | | |
| | Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | 20 | 7781679 | 0 | 0 | 7781679 | 72.547 | 7781679 | 0 | 7781679 | 72.547 | 0 | 72.547 | 0 | 0.000 | 0 | 0.000 | 7411687 |

Table III - Statement showing shareholding pattern of the Public shareholder

| | Category & Name of shareholders (I) | PAN (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | No. of partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipt (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form (XIV) | |
|-----|--|------------|----------------------------|--|--|---|--|---|---|---------|---------|--|---|-------------------------------------|---------|--|---------|---|---------------------------------|
| | | | | | | | | | No. of Voting Rights | | | | | Total as a % of Total Voting rights | No. (a) | As a % of total Shares held (b) | No. (a) | | As a % of total Shares held (b) |
| | | | | | | | | | Class x | Class y | Total | | | | | | | | |
| (1) | Institutions | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (a) | Mutual Funds | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (b) | Venture Capital Funds | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (c) | Alternate Investment Funds | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (d) | Foreign Venture Capital Investors | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (e) | Foreign Portfolio Investors | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (f) | Financial Institutions/Banks | | 1 | 100 | 0 | 0 | 100 | 0.001 | 100 | 0 | 100 | 0.001 | 0 | 0.001 | 0 | 0.000 | 0 | 0.000 | 100 |
| (g) | Insurance Companies | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (h) | Provident Funds/Pension Funds | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (i) | Any Other | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Foreign Institutional Investors | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | QFI - Corporate | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Sub-Total (B)(1) | | 1 | 100 | 0 | 0 | 100 | 0.001 | 100 | 0 | 100 | 0.001 | 0 | 0.001 | 0 | 0.000 | 0 | 0.000 | 100 |
| (2) | Central Government/State Government(s)/President of India | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Sub-Total (B)(2) | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (3) | Non-Institutions | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (a) | Individuals | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs. | | 10869 | 1529988 | 0 | 0 | 1529988 | 14.264 | 1529988 | 0 | 1529988 | 14.264 | 0 | 14.264 | 0 | 0.000 | 0 | 0.000 | 437391 |
| | ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs. | | 13 | 1297900 | 0 | 0 | 1297900 | 12.100 | 1297900 | 0 | 1297900 | 12.100 | 0 | 12.100 | 0 | 0.000 | 0 | 0.000 | 1297900 |
| | CHETAN CHANDAK | AIGPC0853R | 0 | 280000 | 0 | 0 | 280000 | 2.610 | 280000 | 0 | 280000 | 2.610 | 0 | 2.610 | 0 | 0.000 | 0 | 0.000 | 280000 |

| | | | | | | | | | | | | | | | | | | | |
|-----|---|------------|--------------|----------------|----------|----------|----------------|---------------|----------------|----------|----------------|---------------|----------|---------------|----------|--------------|----------|--------------|----------------|
| | KALPANA SOMANI | AFEPS4206B | 0 | 157500 | 0 | 0 | 157500 | 1.468 | 157500 | 0 | 157500 | 1.468 | 0 | 1.468 | 0 | 0.000 | 0 | 0.000 | 157500 |
| | RENU CHOWDHARY | ACQPC5886J | 0 | 112000 | 0 | 0 | 112000 | 1.044 | 112000 | 0 | 112000 | 1.044 | 0 | 1.044 | 0 | 0.000 | 0 | 0.000 | 112000 |
| | UMESH KUMAR SOMANI | ALQPS9309D | 0 | 175000 | 0 | 0 | 175000 | 1.631 | 175000 | 0 | 175000 | 1.631 | 0 | 1.631 | 0 | 0.000 | 0 | 0.000 | 175000 |
| (b) | NBFCs registered with RBI | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (c) | Employee Trusts | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (d) | Overseas Depositors (holding DRs)(balancing figure) | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (e) | Any Other | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Bodies Corporate | | 37 | 114437 | 0 | 0 | 114437 | 1.067 | 114437 | 0 | 114437 | 1.067 | 0 | 1.067 | 0 | 0.000 | 0 | 0.000 | 18313 |
| | QFI - Individual | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | NRI - Repatriable | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | NRI - Non-Repatriable | | 8 | 1583 | 0 | 0 | 1583 | 0.015 | 1583 | 0 | 1583 | 0.015 | 0 | 0.015 | 0 | 0.000 | 0 | 0.000 | 1583 |
| | OCBs | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Trusts | | 1 | 200 | 0 | 0 | 200 | 0.002 | 200 | 0 | 200 | 0.002 | 0 | 0.002 | 0 | 0.000 | 0 | 0.000 | 200 |
| | Clearing Memembers | | 5 | 500 | 0 | 0 | 500 | 0.005 | 500 | 0 | 500 | 0.005 | 0 | 0.005 | 0 | 0.000 | 0 | 0.000 | 500 |
| | IEPF Authority | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Sub-Total (B)(3) | | 10933 | 2944608 | 0 | 0 | 2944608 | 27.452 | 2944608 | 0 | 2944608 | 27.452 | 0 | 27.452 | 0 | 0.000 | 0 | 0.000 | 1755887 |
| | | | | | | | | | | | | | | | | | | | |
| | Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 10934 | 2944708 | 0 | 0 | 2944708 | 27.453 | 2944708 | 0 | 2944708 | 27.453 | 0 | 27.453 | 0 | 0.000 | 0 | 0.000 | 1755987 |

